



## **GICA Compliance Collaborative**

### **2019 In-Person – Title IX - Basic Investigator Training**

#### **(6 Hour In-Person Training at various locations)**

**9:00 a.m. – 10:00 a.m. Introductions; Title IX, VAWA, and Clery Act – History to Current**

**Training Objectives:**

- Understand the legislative history of the laws
- Understand the effect of the changes in the laws since inception
- Review the applicable Dear Colleague Letters
- Review the present status of Title IX, VAWA, and Clery Act

**10:15 a.m. – 12:00 p.m. Investigation Process (Case Scenario)**

**Training Objectives:**

- Utilize a case scenario
  - Discuss the process for handling a Title IX allegation
  - Discuss basic interview techniques
  - Discuss requirements of Title IX reports
  - Discuss confidentiality of Title IX reports
  - Discuss process for dissemination of Title IX reports

**12:00 p.m. – 1:00 p.m. Lunch on your own/campus cafeteria**

**1:00 p.m. – 4:00 p.m. Investigation Process (Case Scenario) (cont.)**

**Training Objectives:**

- Continuing use of the case method to walk through an alleged sexual misconduct claim
- Discuss applicable forms to generate consistency of notifications and reports
- Case study method to conduct a mock hearing panel.

**Attendees will:**

- Bring a copy of their institution's Title IX Policy and process.
- Each institution will work separately to walk through their process of handling a sexual misconduct case at their individual institution; each institution will also share with other institutions about their processes to determine what are best practices to handle a sexual misconduct case.

**Presenter:** Judy Spain, J.D., CCEP

Judy currently serves as the GICA Compliance Program Consultant. She is a tenured Professor, an attorney licensed in Kentucky, Ohio, New York, and Pennsylvania, and is a Certified Compliance and Ethics Professional. She served as General Counsel and Chief Ethics and Compliance Officer at Eastern Kentucky University and Manhattanville College, where she developed and implemented compliance programs. Judy is the author of the first book on higher education compliance, *“Higher Education Compliance: Blueprint for Success”*. Judy is also the author of “Compliance Risk Assessments: An Introduction” published by the Society for Corporate Compliance and Ethics.